Docket: IME 02 - 021C S/N: 10/727,201



To:

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

From:

Saile Ackerman, LLC

Stephen B. Ackerman, Reg. No. 37,761

28 Davis Avenue

Poughkeepsie, N.Y. 12603

Subject:

Serial No.: 10/727,201 Filed: 12/02/2003

First Named Inventor: Joon Mo Kang

Title: METHOD OF FABRICATING OPTICAL WAVEGUIDE

DEVICES WITH SMOOTH AND FLAT DIELECTRIC

INTERFACES

Group Art Unit: 2883

Examiner: Kianni, Kaveh C.

Attorney Docket: IME 02 – 021C

PRELIMINARY AMENDMENT FOR REQUEST FOR CONTINUED EXAMINATION AND IN RESPONSE TO FINAL PATENT OFFICE ACTION AND ADVISORY ACTION

Dear Sir:

As a Preliminary Amendment filed concurrently with an RCE and response to the Final office action dated 04/20/2006 and the Advisory Action dated 06/23/2006, please consider the following remarks:

CERTIFICATE OF MAILING

Signature/Date

7/20/0

Stephen B. Ackerman Reg. No. 37,761